

APPENDIX 2

Audit type	Planned	Done in this year	Final Report Issued Date	QTR 1	QTR 2	QTR 3	QTR 4	Audit opinion System,	Audit opinion compliance
Corporate Finance									
sys	Benefits Discretionary Housing Payments	1	26.6.18	1				satis	satis
sys	Cashiers/IT PCI card security systems	1	4.7.18		1			satis	inoi
sys	Council Tax	1	13.2.19				1	effect	effect with improv
sys	Council tax reduction scheme	1	26.4.18	1				satis	satis
sys	Debtors high level control review	1	13.9.18			1		good	
sys	NNDR	1	30.8.18			1		good	
sys	Treasury management	1	15.1.19				1	inoi	
reg	NFI match review Council Tax Reduction scheme matches (29 data reports)	1	23.8.18		1			good	
reg	NFI match review Payroll matches (6 data reports)	1	16.8.18		1			inoi	
reg	NFI match review Houisng Benefit mtaches (9 data reports)	1	16.8.18		1			good	
reg	NFI match review Creditors matches (9 data reports)	1	13.9.18		1			satis	
IT									
system	BS standards no 1	1					1	effect	n/a
system	BS standards no 2	1			1			satis	n/a
system	BS standards no 3	1			1			satis	n/a
system	BS standards no 4	1				1		satis	n/a
system	BS standards no 5	1				1		satis	n/a
EDUCATION SYSTEMS AND PROCESSES									
sys	Early years free childcare offer	1	4.12.18			1		satis	satis
certification	School uniform grant	1	17.9.18			1		satis	
vfm	Youth service vehicle hire and use	1	13.8.18			1		satis	

	DIRECTORATE OF SOCIAL SERVICES								
	Social services								
sys	Section 17 payments (prevention and support)	1	10.12.18			1		good	inoi
	HOUSING (including WHQS)								
system	WHQS data validation	1	30.11.18			1		inoi	inoi
	COMMUNITIES DIRECTORATE								
	ENGINEERING TRANSPORT AND HIGHWAYS								
system	School Transport Taxi contracts	1	14.9.18			1		unsatis	inoi
	COMMUNITY & LEISURE SERVICES								
system	Civic Amenity Van Trailer waste permits	1	17.9.18			1		good	good
	PUBLIC PROTECTION								
reg	cctv control centre	1	9.8.18			1		good	good
		24.00				2	8	11	3
								24	10